

Re: Patent Application

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Date:

February 7, 2003

TRANSMITTAL LETTER

File No.:

A0,031

In re:

Applicant(s): Barry J. Fiala and Art Unit:

2161

Assignee:

Riverborne Communications, Inc.

Serial No.:

10/041,934

Filed:

Jan. 8, 2002

For:

Point-of-Sale Activation and

Mark B. Hodes

Subsequent Registration of

Products

TO THE COMMISSIONER FOR PATENTS:

Sir:

Examination Docket No. RECEIVED

GROUP 3600 Enclosed herewith are the documents set forth hereinbelow for processing

Supplementary Information Disclosure Statement (2 pages); Transmittal

Letter (1 page); PTO 1449 (with 10 references) and return Postcard.

Also enclosed is my check in the sum of \$000.00 for the required fee.

Please charge any additional fees or credit any overpayment concerning the filing of the enclosed documents to Deposit Account No. 23-0125.

Respectfully submitted,

Riverborne Communications, Inc.,

Assignee

Date:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Comm-

issioner for Patents, Washington, D.C. 2/7/2003 20231, on

ell H. wel Russell H. Walker Reg. No. 35,401

Date: __2_

Bv:

Russell H. Walker

Walker, McKenzie & Walker, P.C. 6363 Poplar Ave., Suite 434

Memphis, TN 38119-4896 Tel. No. (901) 685-7428

Registration No. 35,401

Attorney for Assignee





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Barry J. Fiala and Mark B. Hodes

Group Art Unit:

2161

Assignee:

Application No.:

Filed:

January 8, 2002

For:

Riverborne
Communications, Inc.

1 No.: 10/041,934 SUPPLEMENTARY IDS
January 8, 2002 Docket No.: A0,031
Point-of-Sale Activation and Subsequent Registration of Property

GROUP 3600

COMMISSIONER FOR PATENTS

WASHINGTON, D.C. 20231

SUPPLEMENTARY INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.56

Submitted herewith on Form PTO-1449 is a listing of patents and publications known to applicants in order to comply with applicants' duty of disclosure pursuant to 37 C.F.R. § 1.56. This supplemental disclosure is in addition to the Information Disclosure Statement submitted with applicants' specification of their invention, and is being submitted because applicants and/or the undersigned attorney just recently, after the filing of the application and its accompanying Information Disclosure Statement, became aware of these documents. A copy of each listed patent and publication is also being submitted to comply with the provisions of 37 C.F.R. §§ 1.97-1.99.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

Documents AA through AI were cited in the International Search Report for Assignee's corresponding co-pending PCT Application PCT/US02/00934 as "Category A" documents defining the general state of the art which is not considered to be of

particular relevance, and were noted as being relevant to claims 1-50.

Document AR is a picture of the front and back of a product recently discovered on retail store shelves, and is of unknown date. Assignee also notifies the U.S.P.T.O., as it has previously done in documents filed in connection with the Petition to Accept this application without the signatures of all inventors, that the ownership of this invention is the subject of a still-pending state court action filed by Assignee Riverborne Communications, Inc., against inventor Hodes, namely, *Riverborne Communications, LLC v. Mark B. Hodes* (Tennessee Chancery Court, Memphis, Tennessee, Case CH-01-1564-3).

Finally, Assignee notes, upon information and belief, that inventor Mark Hodes has filed the following patent applications in the U.S. Patent and Trademark Office: 10/198,877 (filed July 19, 2002); 10/061,891 (Filed October 26, 2001); 10/038,415 (filed January 3, 2002); and 10/008,306 (filed December 7, 2001).

Because this Supplementary IDS is being filed prior to the mailing of any Office Action on the merits, no fee should be required for its submission. 37 C.F.R. § 1.97(b)(3). However, if any filing fee is required for the filing of this Supplementary IDS, please charge any such fee, or refund any overpayments, to Deposit Account 23-0125.

Respectfully submitted,
Riverborne Communications, Inc.,

Assignee

Date: ____

2 7 2003

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issioner for Patents, Washington, D.C.

20231, on 2/7/2003 Mull #-W

Russell H. Walker Reg. No. 35,401

Date: 27/2003

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Attorney for Assignee



U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

Atty. Docket No.: A0,031

Appl No.: : **Applicants:**

10/041,934 Barry J. Fiala and

GROUP 3600 Mark B. Hodes

Filing Date:

Jan. 8, 2002

Group:

2161

(Use Several Sheets if Necessary)

LIST OF PRIOR ART

CITED BY APPLICANT

U.S. Patent Documents

Examiner Initial		Document Number	Date	Name	Class	Sub- class	Filing Date
	AA	4,528,643	7/9/85	Freeny, Jr.	364	900	1/10/83
	AB	5,327,485	7/5/94	Leaden	379	95	12/1/92
	AC	5,367,148	11/22/94	Storch et al.	235	375	3/15/91
	AD	5,809,144	9/15/98	Sirbu et al.	380	25	8/24/95
	AE	5,950,173	9/7/99	Perkowski	705	26	5/12/97
	AF	6,018,719	1/25/00	Rogers et al.	705	24	10/2/96
	AG	6,212,638	4/3/01	Lee et al.	713	179	12/2/97
	AH	6,243,468	6/5/01	Pearce et al.	380	255	4/29/98
	ΑI	6,327,363	12/4/01	Henderson et al.	379	265.01	11/24/98
	AJ						
	AK						

Foreign Patent Documents

Examiner		Document	Publication	Country or		Sub-	Trans	lation
Initial		Number	Date	Patent Office	Class	class	Yes	No
	AL							
	AM							
	AN						1	
	AO						1	
	AP						1	
	AQ							

Other Prior Art (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	FastWeb Prepaid Internet Access Starter Kit, U.S. South Communications, Inc. (date unknown).
	AS	
	AT	
	AU	
Examiner		Date Considered